



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: TIMOTHY L. JOHNSON

Serial No.: 09/681,267

Filed: March 12, 2001

For MARKETING SYSTEMS AND METHODS

: Group Art Unit:

: Examiner:

RWB
7-2-01**INFORMATION DISCLOSURE STATEMENT**

- ☒ 1 **PTO-1449 Pursuant to 37 CFR 1.97(b)**
[within 3 months of filing or prior to 1st Office Action] N/C
- ☐ 2 **Statement Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance] N/C
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance] \$240.00
- ☐ 4 **Petition, Statement & Petition Fee Payment Pursuant to 37 CFR 1.97(d)**
[before issue fee payment] \$130.00

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier); and
- ☐ 8 The required Statement made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.



☐ 10 37 CFR §1.97(c) [before issue fee payment]; and

- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in item 14 below; and
- b) The required Statement is stated in item 11 below.

☐ 11 Statement under 37 CFR 1.97(e)

☐ 12 Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ 13 No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

3/13/01

Date

David C. Goldman

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General Electric Company
CRD Patent Docket Rm 4A59
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date of Deposit

Type or Print Name

Signature

FORM PTO-1449
(REV. 7-80)
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

RD-27,601

09/681,267

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--
LIST OF ITEMS

Applicant

TIMOTHY L. JOHNSON

Filing Date

03/12/01

Group

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5	5	9	2	5	6	0	01/07/97	DEATON, ET AL			
	AB	5	9	5	0	1	7	3	09/07/99	PERKOWSKI			
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
														YES	No
	AL														
	AM														
	AN														
	AO														
	AP														

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

9P. 2150

2163

JC13 Rec'd PCT/PTO

26 MAR 2001

0500

Serial No: 09/681,267

Docket No.: RD-27,601



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: TIMOTHY L. JOHNSON

Group Art Unit:

Serial No.: 09/681,267

Examiner:

Filed: MARCH 12, 2001

For: **MARKETING SYSTEMS AND METHODS**

INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner of Patents
Washington, DC 20231

SIR:

In accordance with 37 C.F. R. §§1.56, 1.97 and 1.98, Applicant cites the following commonly owned patent applications for the above-identified patent application:

<u>SERIAL NO.</u>	<u>FILING DATE</u>	<u>TITLE</u>	<u>INVENTOR(S)</u>	<u>ATTORNEY DOCKET NO.</u>
09/369,116	8/5/99	Marketing Systems and Methods	Timothy L. Johnson	RD-26,646

Respectfully submitted,

David Goldman

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